

## <u>Data of Investor Complaints: Stock Brokers / Depository Participant</u> <u>Data for the month ending: September 2025</u>

S.N	Received from	Carrie d forwar d from previo us month	Received during the month	Total Pending	Resolved	Pending at the endof the month**		Average Resolution time(in days)
						Pendin gfor less than 3 month s	Pendin g for more than 3 month s	
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges /Depositor ies	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0		0



## **Trend of monthly disposal of complaints**

From previous   month	0 0 0
1 January 2023 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0
2       February 2023       0       0       0         3       March 2023       0       0       0         4       April 2023       0       0       0         5       May 2023       0       0       0         6       June 2023       0       0       0         7       July 2023       0       0       0         8       August 2023       0       0       0         9       September 2023       0       0       0         10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         19       July 2024       0       0	0
3 March 2023 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	
4       April 2023       0       0       0         5       May 2023       0       0       0         6       June 2023       0       0       0         7       July 2023       0       0       0         8       August 2023       0       0       0         9       September 2023       0       0       0         10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0	0
5       May 2023       0       0       0         6       June 2023       0       0       0         7       July 2023       0       0       0         8       August 2023       0       0       0         9       September 2023       0       0       0         10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	
6       June 2023       0       0       0         7       July 2023       0       0       0         8       August 2023       0       0       0         9       September 2023       0       0       0         10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
7       July 2023       0       0       0         8       August 2023       0       0       0         9       September 2023       0       0       0         10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
8       August 2023       0       0       0         9       September 2023       0       0       0         10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
9 September 2023 0 0 0 0 0 10 10 October 2023 0 0 0 0 0 0 11 November 2023 0 0 0 0 0 12 December 2023 0 0 0 0 0 13 January 2024 0 0 0 0 0 14 February 2024 0 0 0 0 0 0 15 March 2024 0 0 0 0 0 0 16 April 2024 0 0 0 0 0 0 17 May 2024 0 0 0 0 0 0 18 June 2024 0 0 0 0 0 0 19 July 2024 0 0 0 0 0 0 0 0 19 July 2024 0 0 0 0 0 0 0 0 0 19 September 2024 0 0 0 0 0 0 0 0 0 0 19 September 2024 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0
10       October 2023       0       0       0         11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
11       November 2023       0       0       0         12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
12       December 2023       0       0       0         13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
13       January 2024       0       0       0         14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
14       February 2024       0       0       0         15       March 2024       0       0       0         16       April 2024       0       0       0         17       May 2024       0       0       0         18       June 2024       0       0       0         19       July 2024       0       0       0         20       August 2024       0       0       0         21       September 2024       0       0       0	0
15     March 2024     0     0     0       16     April 2024     0     0     0       17     May 2024     0     0     0       18     June 2024     0     0     0       19     July 2024     0     0     0       20     August 2024     0     0     0       21     September 2024     0     0     0	0
16     April 2024     0     0     0       17     May 2024     0     0     0       18     June 2024     0     0     0       19     July 2024     0     0     0       20     August 2024     0     0     0       21     September 2024     0     0     0	0
17     May 2024     0     0     0       18     June 2024     0     0     0       19     July 2024     0     0     0       20     August 2024     0     0     0       21     September 2024     0     0     0	0
18     June 2024     0     0     0       19     July 2024     0     0     0       20     August 2024     0     0     0       21     September 2024     0     0     0	0
19     July 2024     0     0     0       20     August 2024     0     0     0       21     September 2024     0     0     0	0
20     August 2024     0     0     0       21     September 2024     0     0     0	0
21 September 2024 0 0 0	0
	0
22 October 2024 0 0 0	0
	0
23 November 2024 0 0 0	0
24 December 2024 0 0 0	0
25 January 2025 0 0 0	0
26 February 2025 0 0 0	0
27 March 2025 0 0 0	0
28 April 2025 0 0 0	0
29 May 2025 0 0 0	0
30 June 2025 0 0 0	0
31 July 2025 0 0 0	0
32 August 2025 0 0 0	0
Grand Total 0 0 0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



## Trend of annual disposal of complaints

S.N	Year	Carried forward from previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2020-21	1	0	1	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4.	2023-24	0	0	0	0
5.	2024-25	0	0	0	0
	<b>Grand Total</b>	1	0	1	0